of 2

STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/825,911

755 PAGE MILL RD

MORRISON & FOERSTER LLP

PALO ALTO, CA 94304-1018

25226

04/16/2004

Xinli Lin

544112000200

CONFIRMATION NO. 8875

FORMALITIES LETTER

\*OC00000013154324\*

Date Mailed: 07/06/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

B-H

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04 Approved for use through 07/31/2006. OMB 0651-003 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE						
Under the Paper ork Reduction Act of 1995, no pers	sons are required to res	U.S. Patent and Tradem	ark Office; U.S. DEPARTMENT OF COMMERCE ation unless it displays a valid OMB control number			
TO THAD THE		Application Number	10/825,911			
TRANSMITTAL		Filing Date	April 16, 2004			
FORM		First Named Inventor	Xinli LIN			
(to be used for all correspondence after initial filing)		Art Unit	1652			
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		Examiner Name	Not Yet Assigned			
Total Number of Pages in This Submiss	Total Number of Pages in This Submission 16 + 1 Disk		er 544112000200			
EN	ICLOSURES	(Check all that app	ly)			
X Fee Transmittal Form (1 page in duplicate)	Drawing(s)		After Allowance communication to Technology Center (TC)			
Fee Attached	Licensing-rel	ated Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to Co		Proprietary Information			
		rney, Revocation rrespondence Address	Status Letter			
Extension of Time Request Terminal Disc		claimer	X Other Enclosure(s) (please Identify below):			
Express Abandonment Request Request for		Refund	Statement Under 37 CFR 3.73(b)     w/copy of Assignment (2 pages total)			
Information Disclosure Statement CD, Number		of CD(s)	Declaration (2 pages)     Notice to File Missing Parts – Part 2     (2 pages)			
Certified Copy of Priority Document(s)			<ul><li>4. Statement to Support Filing (2 pages)</li><li>5. Sequence Listing (4 pages)</li><li>6. 1 Disk in Computer Readable Format</li><li>7. Return receipt postcard</li></ul>			
X   Response to Missing Parts/	Remarks	<b>.</b>	r. Retuin receipt postcaru			
Response to Missing Parts	Rosponso to Missing Parts					
under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm MORRISON & FOERSTER LLP (Customer No. 25226) or Individual name						
Signature Suc 3						
Date September 7, 2004						
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV336622844US, in an envelope addressed to: MS Missing Parts, Commissione for Patents, P.O. Box (45), Alexandria, VA 22313-1450.						

Dated: September 7, 2004

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
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FE	E TR	AN	SMIT	TAL
·	for	FY	2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 65.00

espond to a collection of information unless it displays a valid Oivib control number.					
Complete if Known					
Application Number	10/825,911				
Filing Date	April 16, 2004				
First Named Inventor	Xinli LIN				
Examiner Name	Not Yet Assigned				
Art Unit	1652				
Attomey Docket No.	544112000200				

TOTAL AMOUNT OF PAYMENT (\$) 65.00	Attorney Docket No. 344112000200						
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit Money Other None	3. ADDITIONAL FEES						
X Deposit Account:		Large Entity Small Entity					
Deposit Account 03-1952		ee Fee Fee Fee Fee Fee Description		Fee Description			
Number		(\$)	Code	(\$)	i de Description		Fee Paid
Deposit Account Morrison & Foerster LLP		130	2051	65	Surcharge	e – late filing fee or oath	65.00
Name The Director Is authorized to: (check all that apply)	1052	50	2052	25	Surcharge sheet.	e - late provisional filing fee or cover	
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130		sh specification	
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2.520	1812	2.520	For filing a request for exparte reexamination		
	1804	920*	1804	920*	Requestir	g publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee					Examiner	action g publication of SIR after	
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Examiner	action	
FEE CALCULATION	1251	110	2251	55		for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210		for reply within second month	
Large Entity Small Entity  Fee Fee Fee Fee Fee Description Fee Paid	1253	950	2253	475	Extension	for reply within third month	
Fee Fee Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension	for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension	for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of	Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a br	ief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to	institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00		110	2452	55	Petition to	revive – unavoidable	
30B101AE(1) (4) 0.00	1453	1,330	2453	665	Petition to	revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issu	e fee (or reissue)	
Extra Fee from Claims below Fee Paid	1502	480	2502	240	Design is:	sue fee	
Total Claims 24 -24 = 0 x 9.00 = 0.00	1503	640	2503	320	Plant issu	e fee	
Independent 1 -3 = 0 x 43.00 = 0.00	1460	130	1460	130	Petitions	to the Commissioner	
Claims	1807	50	1807	50	Processir	g fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submissi	on of Information Disclosure Stmt	
Fee Fee Fee Code (\$) Fee Description	8021	40	8021	40		g each patent assignment per times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385		ubmission after final rejection	-
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each	additional invention to be	
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385		I (37CFR 1.129(b)) for Continued Examination (RCE)	
over original patent					•	or expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900		n application	
and over original patent	Other fee (specify)						
SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	65.00		
**or number previously paid, if greater; For Reissues, see above							

SUBMITTED BY (Complete (if applicable))						
Name (Print/Type)	Jie Zhou	Registration No. (Attorney/Agent)	52,395	Telephone	(650) 813-5922	
Signature	Ju 3	•		Date	September 7, 2004	